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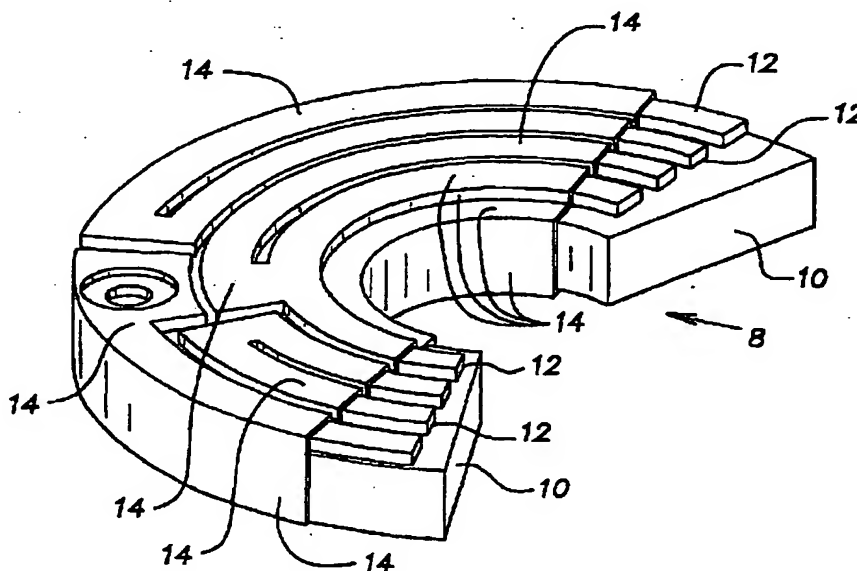
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*For two-letter codes and other abbreviations, refer to the "Guid-
ance Notes on Codes and Abbreviations" appearing at the begin-
ning of each regular issue of the PCT Gazette.*

(54) Title: ARTICLES COATED WITH ALUMINUM NITRIDE BY CHEMICAL VAPOR DEPOSITION



(57) Abstract: An article with a chemical vapor deposition (CVD) aluminum nitride coating (16) is disclosed. The article is a heating element, wafer carrier, or electrostatic chuck. The article has a substrate (10) made of nitride of aluminum or boron, and further has one or more graphite elements (12) for resistance heating (12, 28) or electromagnetic chucking (30) or both. A pyrolytic boron nitride layer (14) may be provided between the substrate (10) and the CVD aluminum nitride coating (16), and may either encompass or exclude the graphite element or elements (12).



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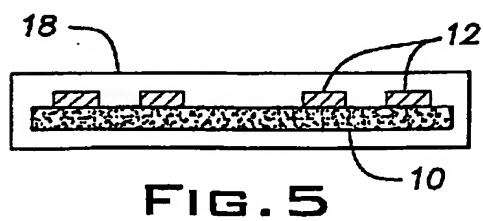
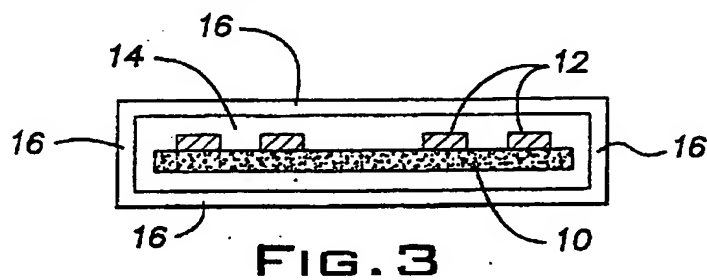
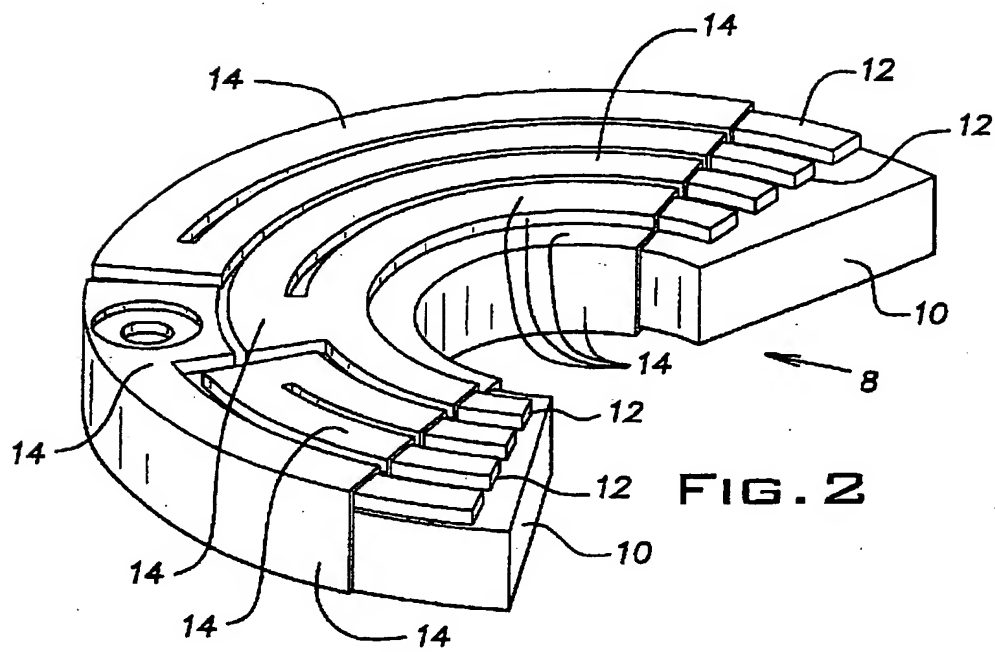
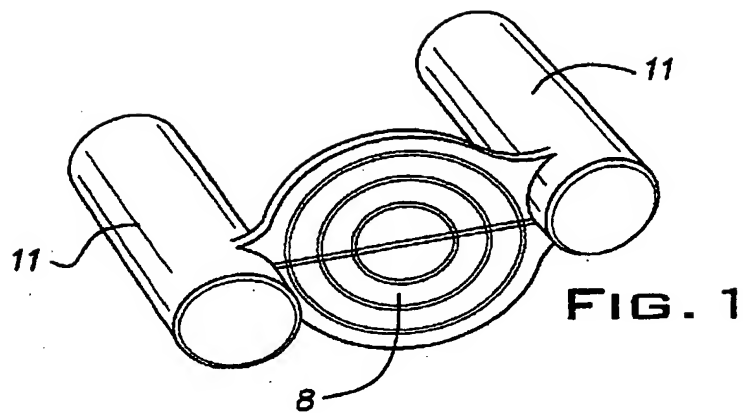


FIG. 4

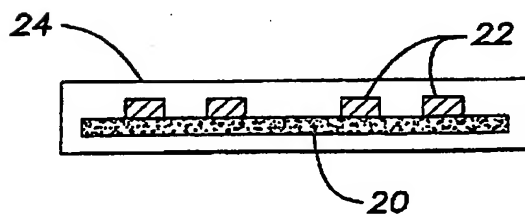
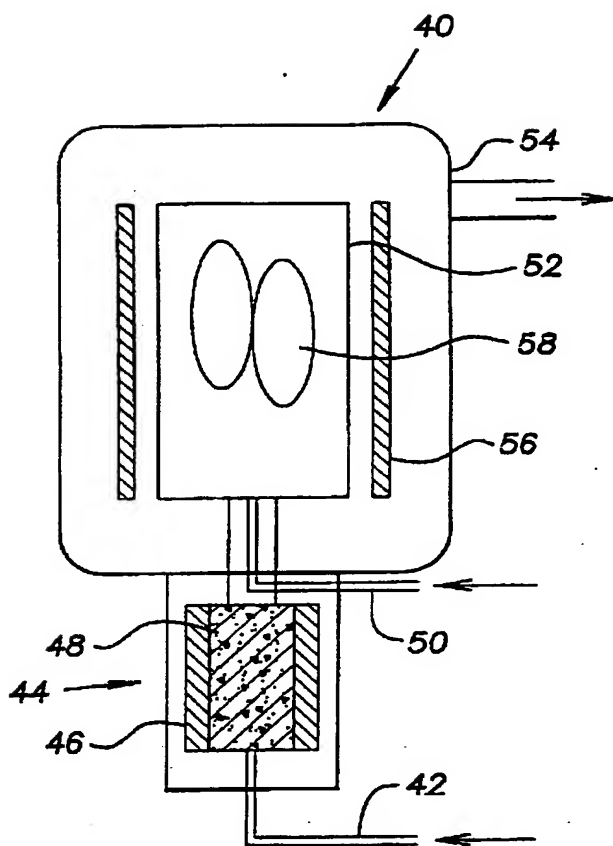


FIG. 6

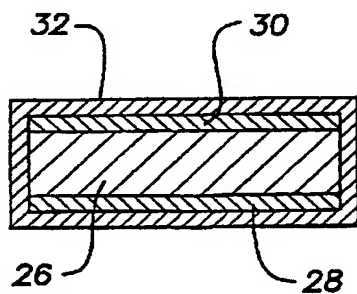


FIG. 7

ARTICLES COATED WITH ALUMINUM NITRIDE BY
CHEMICAL VAPOR DEPOSITION

FIELD OF THE INVENTION

The invention relates generally to articles having an aluminum nitride coating provided by chemical vapor deposition, and more particularly to heating units, wafer carriers, and electrostatic chucks having such a coating.

BACKGROUND OF THE INVENTION

The manufacture of computer integrated circuits (computer chips) requires deposition and selective removal of many layers of material. Various components are used in the equipment that applies these thin films to silicon wafers. They include heating elements, electrostatic chucks, and wafer carriers.

During wafer coating, some of the materials that are applied to the wafer or chip also deposit on the equipment in the deposition chamber, such as the heating equipment. This requires periodic cleaning of the equipment, which is commonly done using high energy gas plasma. The most aggressive plasma uses a fluorine-bearing gas such as NF_3 . This produces a fluorine plasma, which cleans the chamber but also attacks the components of the equipment. This erosion limits the life of the components and the equipment. It would be desirable to extend the service life of components and equipment by use of a suitably durable coating.

SUMMARY OF THE INVENTION

A coated article is provided. The coated article may be a heating element, electrostatic chuck, or wafer carrier. The article has a body containing a substrate and a graphite element, and also has an outer coating of aluminum nitride provided by chemical vapor deposition. The outer coating protects the article against chemical attack, for example by fluorine plasma.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a perspective view of a heating element suitable for use in the present invention.

Fig. 2 is a perspective view of a portion of the heating element in Fig. 1 on a larger scale, partially cut away to reveal components.

Figs. 3, 5, 6 and 7 are partial schematic cross-sectional views of embodiments of the invention.

Fig. 4 is a schematic view of an apparatus for performing chemical vapor deposition.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS OF THE INVENTION

In the description that follows, when a preferred range, such as 5 to 25, is given, this means preferably at least 5, and separately and independently, preferably not more than 25. As used herein and in the claims, the term "adjacent" includes both direct contact and lying near, as where an intervening layer exists between two layers or objects. An example of this latter situation is shown in Figure 3, wherein an outer layer of aluminum nitride 16 is adjacent the pyrolytic boron nitride substrate 10 and pyrolytic graphite resistors 12, and a layer of pyrolytic boron nitride 14 is disposed between the substrate 10 and the outer layer 16.

The invention concerns a coated article. The coated article may be a heating element, an electrostatic chuck, a wafer carrier, or similar article. In each case the article has a body containing a substrate and a graphite element, and also has an adjacent coating of aluminum nitride provided by chemical vapor deposition.

Fig. 1 shows a conventional heating element known in the art, such as a Boralectric brand pyrolytic boron nitride resistance (PBN) heating element available from Advanced Ceramics Corporation, Cleveland, Ohio. The heating element has a resistor 8 and a pair of connector posts 11, and is for uses such as heating silicon wafers during chemical vapor deposition of surface layers. The connector posts act to transmit electricity from a source at their base to the resistor. This PBN heating element and its construction and uses are described in more detail in U.S. Patent No.

1 5,343,022, the contents of which are incorporated herein by
2 reference in their entirety.

3 The resistor 8 of the heating element is shown in more
4 detail in Fig. 2, which illustrates a resistor having a PBN
5 substrate 10 about 0.02-0.12 inches, more preferably about
6 0.05 inches thick and an electrically conductive serpentine
7 pyrolytic graphite (PG) element 12 about 0.001-0.006 inches,
8 more preferably about 0.002-0.003 inches thick, mounted on the
9 substrate. The pyrolytic graphite element in a heater is a
10 pyrolytic graphite resistance element or heating element with
11 typical resistance known in the art. The PG resistance
12 element is provided by chemical vapor deposition (CVD), and
13 generally is machined into the desired (serpentine)
14 configuration. The substrate and the resistance element form
15 the body of the resistor. The body is substantially covered
16 by a uniform protective PBN coating 14 about 0.005-0.04
17 inches, more preferably about 0.01-0.02 inches thick provided
18 by CVD encapsulating the heating unit. This PBN coating 14
19 resists oxidation, provides electrical insulation, chemical
20 and mechanical protection and minimizes the opportunity for
21 carbon contamination. See also U.S. Patent Nos. 5,882,730 and
22 5,702,764, the contents of which are incorporated herein by
23 reference.

24 One aspect of the invention is illustrated in Fig. 3,
25 which shows the PBN heating unit of Figs. 1 and 2 coated with
26 an outer protective coating 16 of aluminum nitride deposited
27 by chemical vapor deposition (CVD-AlN). As shown in Fig. 3,
28 there is a body comprising a substrate 10 and PG element 12.
29 The body is coated with a PBN layer and the an CVD-AlN
30 coating. All or substantially all of the exterior surface of
31 the article is covered by the outermost CVD-AlN coating.

32 An article according to the invention is used in the
33 processing of silicon wafers. As part of this processing
34 involves layering of materials on the wafer by chemical vapor
35 deposition, the article is also subject to being coated with
36 the materials during the processing. It becomes periodically
37 necessary to clean the article. The process of layering

1 materials on the wafer is generally not damaging to the
2 article. However, harsh cleaning compositions such as NF,
3 plasma are often used to clean the surface of these articles.
4 This cleaning is typically undertaken after 30 to 40 process
5 hours, or hours spent processing wafers. The article is then
6 typically subjected to plasma cleaning for 1-2 cleaning hours
7 or less. An article coated only with PBN is typically damaged
8 sufficiently to require replacement after exposure to NF,
9 plasma for 50 to 100 cleaning hours. The CVD-AlN coating is
10 considerably more resistant to attack by NF, plasma than a PBN
11 coating, and in testing has shown no detectable damage after
12 12-24 cleaning hours using NF, plasma. An article according to
13 the invention preferably has sufficient CVD-AlN coating to
14 effectively survive, that is, to maintain the article in a
15 protected condition such that the coated article does not need
16 to be replaced, for at least 10, 25, 50, 100, 200, 300, 400,
17 500, 600, 700, 800, 900, 1000, 1500, 2000, 3000, or 4000
18 cleaning hours, that is, hours of NF, plasma attack during
19 cleaning of the article. To achieve this, the CVD-AlN outer
20 coating 16 is preferably about 10-100 microns, more preferably
21 about 30-80 microns, more preferably about 50-60 microns
22 thick.

23 The process of applying a CVD-AlN coating is well-known;
24 see, for example, U.S. Patent Nos. 4,950,558; 5,672,420;
25 4,239,819; 5,178,911; 4,172,754; and 5,356,608, the contents
26 of which are incorporated by reference. Fig. 4 illustrates
27 schematically a CVD-AlN process using AlCl₃ and NH₃. In
28 summary, the vapor deposition process is performed in a
29 reactor 40 having a chlorination chamber 44 in communication
30 with a deposition chamber 52. The deposition chamber is
31 contained within a vacuum chamber 54, which is connected to
32 one or more vacuum pumps. Before beginning the process, the
33 coating substrate 58 is placed in the deposition chamber 52
34 and the chlorination chamber 44 is loaded with a bed of
35 aluminum particles 48. The vacuum chamber and deposition
36 chamber are then evacuated.

1 To begin the process, the chlorination chamber 44 is
2 heated to a temperature between 200° C and 400° C by resistive
3 heating elements 46. Chlorine (Cl₂) and nitrogen (N₂) gas are
4 introduced through pipe 42 into the chlorination chamber. At
5 this temperature the aluminum and chlorine form aluminum
6 chloride gas by the reaction: $3 \text{ Cl}_2 + 2 \text{ Al} \rightarrow 2 \text{ AlCl}_3$

7 The aluminum chloride then passes into deposition chamber
8 52, which had previously been evacuated to a low pressure of
9 about 1 to 10 torr, preferably about 2 torr. Ammonia (NH₃) and
10 hydrogen (H₂) are also introduced into the deposition chamber
11 through inlet 50. The temperature is maintained at 700° C to
12 800° C, preferably 750° C by resistive heaters 56. The
13 coating substrate 58 is then coated with AlN as the aluminum
14 chloride and ammonia by the reaction: $\text{AlCl}_3 + \text{NH}_3 \rightarrow \text{AlN} + 3$
15 HCl

16 The coating builds up on the coating substrate 58 at a
17 rate ranging from about 10 to 20 micrometers per hour. The
18 aluminum nitride coating resulting from chemical vapor
19 deposition is superior to that resulting from sintering or
20 hot-pressing, as it is very dense and highly pure, and has an
21 essentially uniform thickness. Coatings prepared as described
22 above exhibit density from 85 to 90 percent of the theoretical
23 crystalline density of aluminum nitride. (Theoretical AlN
24 crystalline density = 3.26 g/cc) Preparation at a higher
25 deposition chamber temperature of 900° C yields an even higher
26 density, of about 97 to 100 percent of the theoretical
27 crystalline density. Other CVD-AlN coating processes are
28 known in the art using other techniques and materials, all of
29 which art is incorporated herein by reference.

30 In another embodiment of the invention, the heating unit
31 of Fig. 3 can be used, except that coating 16 is eliminated
32 and PBN coating 14 is replaced by a CVD-AlN coating 18; this
33 embodiment is illustrated in Fig. 5. The CVD-AlN coating 18 is
34 preferably about 10-100 micrometers, more preferably about 30-
35 80 micrometers, more preferably about 50-60 micrometers,
36 optionally about 5-50 micrometers, thick.

1 Figs. 3 and 5 show the use of the conventional PBN
2 substrate 10. Alternatively, PBN substrate 10 in Figs. 3 and
3 5 can be substituted by (1) a PBN-coated graphite plate (the
4 graphite plate being about 0.10-0.75 inches thick, optionally
5 0.12-0.50 inches thick, the PBN coating being about 0.005-
6 0.035 inches, more preferably about 0.015-0.020 inches thick),
7 (2) a hot-pressed boron nitride (BN) plate about 0.10-0.75
8 inches, more preferably about 0.25-0.50 inches thick, or (3) a
9 PBN-coated hot-pressed BN plate (the hot-pressed BN plate
10 being about 0.10-0.75 inches, more preferably about 0.25-0.50
11 inches thick, the PBN coating being about 0.005-0.035 inches,
12 more preferably about 0.01-0.02 inches thick).

13 With reference to Fig. 6, there is shown a heating
14 element similar to those of Figs. 3 and 5, but of different
15 materials. The heating unit of Fig. 6 has a bulk AlN substrate
16 20 about 0.05-0.5 inches, more preferably about 0.1-0.2 inches
17 thick, which has been formed by hot-pressing, casting, or
18 other known technique. The heating unit also has a pyrolytic
19 graphite resistance element 22 about 0.001-0.006 inches, more
20 preferably about 0.002-0.003 inches thick, provided by CVD and
21 comparable to PG resistance element 12, and further has a CVD-
22 AlN outer coating 24 about 10-100 micrometers, more preferably
23 about 30-80 micrometers, more preferably about 50-60
24 micrometers thick. Alternatively, Fig. 6 can be an
25 electrostatic chuck having a similar bulk AlN substrate 20
26 about 0.05-0.5 inches, more preferably about 0.1-0.2 inches
27 thick, one or more CVD pyrolytic graphite electrostatic chuck
28 electrodes 22 about 0.001-0.006 inches, more preferably about
29 0.002-0.003 inches thick, and a CVD-AlN outer coating 24 about
30 10-100 micrometers, more preferably about 30-80 micrometers,
31 more preferably about 50-60 micrometers thick. For the design,
32 construction, and operation of an electrostatic chuck, see
33 U.S. Patent Nos. 5,591,269; 5,566,043; 5,663,865; 5,606,484;
34 5,155,652; 5,665,260; 5,909,355; and 5,693,581, the entire
35 contents of which are incorporated herein by reference.

36 Optionally, the heating element of Fig. 6 and the
37 electrostatic chuck of Fig. 6 can be combined into a single

1 unit. This is illustrated schematically in Fig. 7, which shows
2 a supporting substrate 26 of the same material and thickness
3 as bulk AlN substrate 20, heat generating layer 28 of the same
4 material and thickness as resistance element 22 described
5 above, electrodes 30 for an electrostatic chuck, of the same
6 material and thickness as pyrolytic graphite conductor or
7 electrostatic chuck electrodes 22, described above configured
8 as an electrostatic chucking element or electrodes, and
9 covering layer 32 the same material and thickness as CVD-AlN
10 outer coating 24.

11 Optionally, the heating elements of Fig. 3, 5, or 6, the
12 electrostatic chuck of Fig. 6, or the combined heating element
13 and electrostatic chuck of Fig. 7 can be used as a wafer
14 carrier to transfer wafers from place to place during the
15 treatment process. In this case, each of the illustrated
16 embodiments would also have an arm (not shown) and means to
17 convey the heater or chuck or heater/chuck to the required
18 locations. The section of the wafer carrier shown in the
19 figures (the coated body) serves a function similar to the
20 flat part of a spatula in conveying chips and wafers to
21 different locations. A wafer carrier with a built-in heating
22 element can pre-heat the wafer or maintain it at a desired
23 temperature. A wafer carrier with a built-in electrostatic
24 chuck allows greater rates of travel and quicker processing
25 times. A wafer carrier combining both functions, as shown in
26 Fig. 7, provides the advantages of both. For the wafer
27 carrier to successfully insinuate itself into standard chip or
28 wafer racks, however, the coated body must have a total
29 thickness of less than about 3 mm. Thus, a wafer carrier
30 according to the present invention must have a total combined
31 thickness less than this total thickness of 3 mm.

32 Although the preferred embodiments of the invention have
33 been shown and described, it should be understood that various
34 modifications and rearrangements of the parts may be resorted
35 to without departing from the scope of the invention as
36 disclosed and claimed herein.

WHAT IS CLAIMED IS:

- 1 1. An article comprising a body, said body comprising a
2 substrate and a pyrolytic graphite element mounted adjacent
3 the substrate, the article further comprising an outer coating
4 of aluminum nitride provided by chemical vapor deposition
5 adjacent the body, the article being selected from the group
6 consisting of a heating element, an electrostatic chuck, and a
7 wafer carrier, the outer coating being adapted to protect the
8 article against attack by a cleaning composition.
- 1 2. An article according to claim 1, wherein the outer coating
2 is about 10 to 100 micrometers thick.
- 1 3. An article according to claim 1, wherein the outer coating
2 covers substantially all of the exterior surface of the
3 article.
- 1 4. An article according to claim 1, wherein the pyrolytic
2 graphite element comprises an electrode.
- 1 5. An article according to claim 1, wherein the pyrolytic
2 graphite element comprises a resistance heating element.
- 1 6. An article according to claim 5, wherein the resistance
2 heating element is about 0.001 to 0.006 inches thick.
- 1 7. An article according to claim 1, wherein the substrate is
2 a pyrolytic boron nitride plate.
- 1 8. An article according to claim 1, wherein the substrate is
2 a graphite plate, and the substrate further comprises a
3 coating of pyrolytic boron nitride.
- 1 9. An article according to claim 1, wherein the substrate is
2 a hot-pressed boron nitride plate.

- 1 10. An article according to claim 9, wherein the substrate
2 further comprises a coating of pyrolytic boron nitride.
- 1 11. An article according to claim 1, further comprising a
2 pyrolytic boron nitride layer adjacent to the body and
3 disposed between the body and the outer coating.
- 1 12. An article according to claim 11, wherein the substrate
2 is a pyrolytic boron nitride plate.
- 1 13. An article according to claim 11, wherein the substrate
2 is a graphite plate about, and the substrate further comprises
3 a coating of pyrolytic boron nitride.
- 1 14. An article according to claim 11, wherein the substrate
2 is a hot-pressed boron nitride plate.
- 1 15. An article according to claim 14, wherein the substrate
2 further comprises a coating of pyrolytic boron nitride.
- 1 16. An article according to claim 1, wherein the substrate is
2 an aluminum nitride plate.
- 1 17. An article according to claim 16, wherein a second
2 pyrolytic graphite element is mounted adjacent the substrate,
3 and the substrate is disposed between the two pyrolytic
4 graphite elements.
- 1 18. An article according to claim 17, wherein the first
2 pyrolytic graphite element is a resistance heating element,
3 and the second pyrolytic graphite element is an electrode.
- 1 19. An article according to claim 1, wherein the cleaning
2 composition is NF₃ plasma.

- 1 20. An article according to claim 1, wherein the outer
- 2 coating is effective to allow the article to effectively
- 3 survive at least 100 cleaning hours.

INTERNATIONAL SEARCH REPORT

 International application No.
 PCT/US00/42236

A. CLASSIFICATION OF SUBJECT MATTER

 IPC(7) : C23C 16/00; H02N 13/00
 US CL : 118/725, 728; 414/217, 941.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 118/725, 728; 414/217, 935, 937, 941; 156/345.

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

 Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
 Please See Extra Sheet.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,672,420 A (STINTON et al) 30 September 1997, entire document.	1-20
Y	US 5,665,260 A (KAWADA et al) 09 September 1997, entire document.	1-20
Y	US 5,663,865 A (KAWADA et al) 02 September 1997, entire document.	1-20
Y	US 5,606,484 A (KAWADA et al) 25 February 1997, entire document.	1-20
Y	US 5,591,269 A (ARAMI et al) 07 January 1997, entire document.	1-20

☒ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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Date of the actual completion of the international search

20 MARCH 2001

Date of mailing of the international search report

16 APR 2001

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International application No.

PCT/US00/42236

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,566,043 A (KAWADA et al) 15 October 1996, entire document.	1-20
A	US 5,343,022 A (GILBERT et al) 30 August 1994, entire document.	1-20

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US00/42236

B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

USPAT, EPO, JPO, DERWENT IBM TDB:

Search terms: heating element, electrostatic chuck, wafer carrier, aluminum nitride AlN, pyrolytic graphite, electrode, resistant heating element, pyrolytic boron nitride, BN